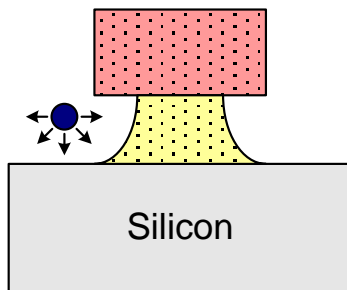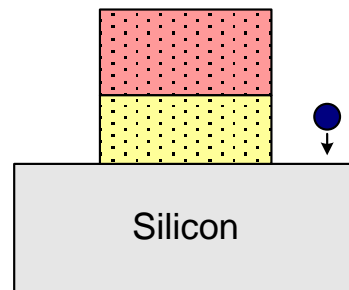# Semiconductor Technology

von A bis Z

Isotropic etch process   **Anisotropic etch process**

Wet chemistry

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1.1 Etch processes

In the fabrication of semiconductor microdevices various materials have to be etched. Either for removing an entire layer from the surface or to transfer a resist pattern into a layer beneath. Etch processes can be devided into wet and dry etching while there is a further separation into isotropic and anisotropic processes and also a separation in chemical and physical etch characteristics.

In an isotropic etch process the etching occurs in lateral and vertical direction. Thereby layers are removed not only in thickness but also in their circumference. In anisotropic processes the layer is only removed in vertical direction. Depending on the demands an isotropic process can be desired as well as an anisotropic.

Resist mask

Structured layer

Silicon

Silicon

Isotropic etch process, particles move in each direction: Under etch of the resist mask, high selectivity

Anisotropic etch process, perpendicular orientated: Exact transfer of the resist mask, low selectivity
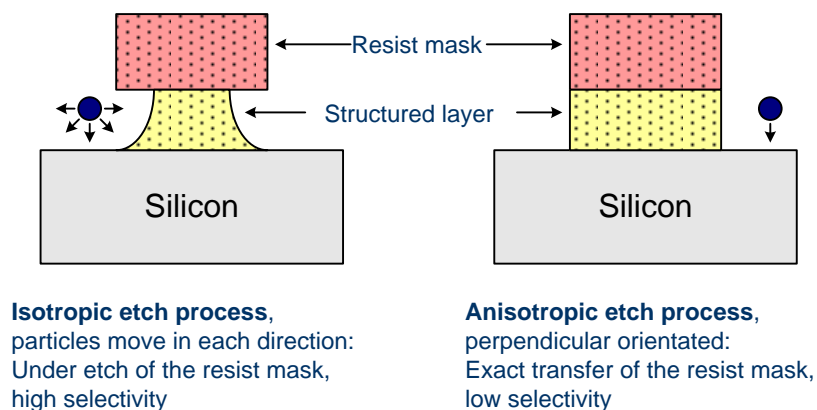
Fig. 1.1: Isotropic and anisotropic etch processes

An important value of etch processes is the selectivity. The selectivity is the ratio of abrasion of the layer which should be etched (e.g. an oxide film) and of the other layer (e.g. a resist mask). If the selectivity is 2:1 the oxide would be etched twice as fast as the resist.

Wet chemistry processes are not only applicable for etching but also for other needs:

- **wet etching:** removal of doped or undoped oxide layers from the entire wafer

- **wafer cleaning**

- **photoresist removal**

- **backside processing:** to remove layers which were deposited as a byproduct during other processes (e.g. thermal oxidation)

- **polymer removal:** to remove byproducts which occur during dry etching

The wet etching is only used very rare for structuring because of its (generally) isotropic etch profile. An exception are micromechanical devices. Due to the atomic structure of silicon crystals, well defined profiles with flank angles of 90° or 54.74° can be produced using wet chemistry.

1.2 Wet etching

1.2.1 Principle

The principle of wet etching processes is the conversion of solid materials into liquid compounds using chemical solutions. The selectivity is very high since the used chemicals can be adapted very precisely to the individual films. For most solutions the selectivity is greater than 100:1.

1.2.2 Requirements

The following requirements have to be fulfilled by liquid chemistry:

- the mask layer must not be attacked

- the selectivity has to be high

- the etch process has to be able to be stopped by dilution with water

- reaction products must not be gaseous because they could shadow other regions

- constant etch rates all along the process

- the reaction products must be solubly to avoid particles

• environmental safety and ease of disposal are neccessary

1.2.3 Batch etching

In batch etching multiple wafers can be etched simultaneously, filters and circulating pumps prevent particles from reaching the wafers. Since the concentration of the chemistry is decreased with each processed wafer it has to be renewed often.

The etch rate, in other words the abrasion per time, has to be well known to ensure a reproducible process. A precise tempering is essential since etch rates increase with increasing temperature.
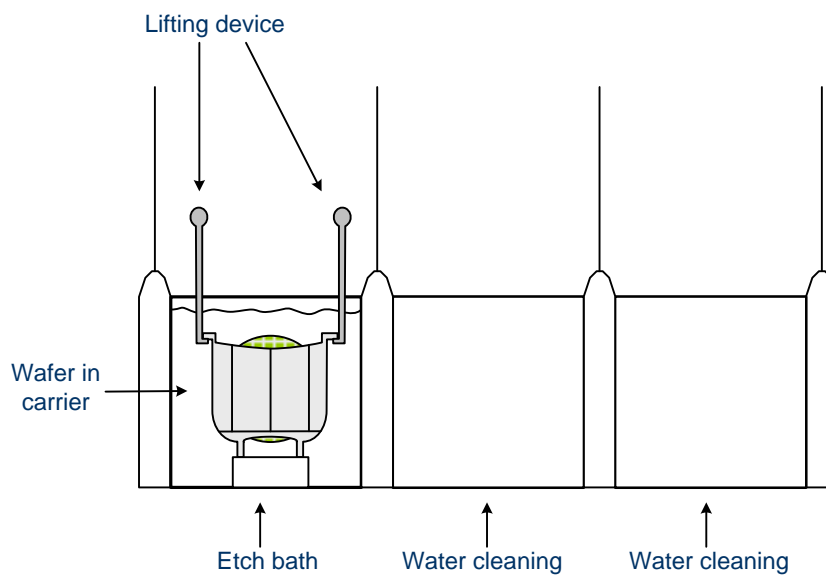

Fig. 1.2: Batch etching

A lever can transfer the wafers in horizontal and vetical direction. After the wafers have been etched, the etch process is stopped by purging with water in seperate baths. Subsequent the moisture is removed in spin-dryers.

The advantage of the batch etching is the high throughput and the simple construction of the etch tools. However, the uniformity is low.

1.2.4 Spray etching

The spray etching is comparable to the spray development in lithography. Due to the rotation of the wafer simultaneously to steadily renewed etch chemistry the uniformity is very good. Bubbles can't emerge because of the fast rotation, however, each wafer has to be processed separately.

As an alternative to the single wafer process the spray etch can be done on multiply wafers at a time. In a spin etcher the wafers are placed around spray nozzles and revolve concentrically. Afterwards the wafers are dried in a hot nitrogen atmosphere.
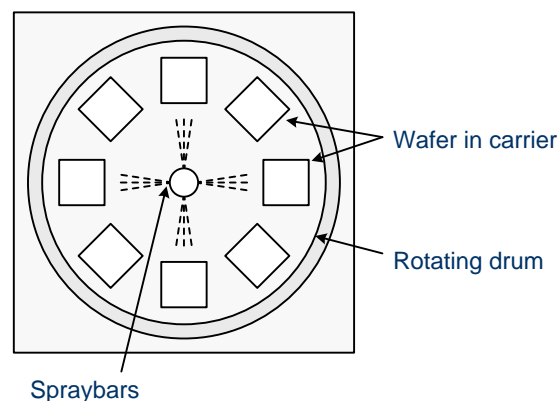
Fig. 1.3: Spray etching

1.2.5 Anisotropic etching of silicon

Although the molecules in liquids can move in every direction there are wet etch processes to create an almost anisotropic etch profile. For this approach the unequal etch rates on different crystal orientations are utilized. (100) and (110) oriented crystal faces can be etched much faster than (111) oriented. Thus 'V' shaped trenches (100 silicon) or trenches with perpendicular sidewalls can be fabricated. The etching is either done with potassium, soda or lithium lye (KOH, NaOH, LiOH) or with an EDP dilution (a mixture of water, pyrazine, catechol, and ethylenediamine). Responsible for the reaction is in either case the OH^- ion (hydroxyl):

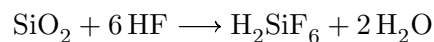$$Si + 2\,H_2 + 2\,OH^- \longrightarrow SiO_2(OH)_2^{2-} + 2\,H_2$$
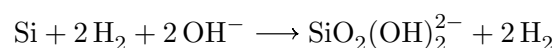
However, anisotropic dilutions are not applicable for microelectronic devices but for micromechanics.

### 1.2.6 Etching solutions for isotropic etching

There are individual dilutions for all the different materials. For example silicon dioxide is etched by hydrofluoric acid (HF):

$$SiO_2 + 6\,HF \longrightarrow H_2SiF_6 + 2\,H_2O$$

The dilution is buffered with NH_4F to maintain the concentration of HF (so-called buffered HF, BHF). In a mixture of 40 percent NH_4F and 49 percent HF (ratio 10:1) the etch rate on thermal oxide is 50 nm/min. TEOS (CVD) oxides and PECVD oxides are etched much faster (150 nm/min and 350 nm/min, respectively). The selectivity compared to crystalline silicon, silicon nitride and polysilicon is much greater than 100:1.

Silicon nitride is etched by hot phosphoric acid (H_3PO_4). The selectivity in contrast to silicon dioxide is low (10:1). In polysilicon the selectivity compared to silicon nitride is primarily defined by the concentration of the phosphoric acid.

Crystalline or polycrystalline silicon are at first oxidized with nitric acid (HNO), afterwards the oxide is etched with HF.

$$3\,Si + 4\,HNO_3 \longrightarrow 3\,SiO_2 + 4\,NO + 2\,H_2O$$
$$SiO_2 + 6\,HF \longrightarrow H_2SiF_6 + 2\,H_2O$$
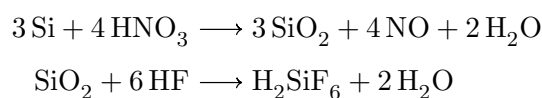
Aluminum can be etched at 60 °C with a mixture of nitric and phosphoric acid, titan is etched with a mixture of ammonia water (NH_4OH), hydrogen peroxide (H_2O_2), and water (ratio 1:3:5). Because this mixture can attack silicon as well its lifetime is low.

In general wet etching is suitable to remove entire layers of the wafer. The selectivity is very high for most materials, and therefore there is no risk to etch the wrong film. In addition the etch rate is very good, in bath etching many wafers can be processed at

a time. However, for small structures the wet etching can't be used since its isotropic character causes lateral etching of the masked films. For this approach layers are removed by dry etching with anisotropic etch profiles.

1.3 Wafer cleaning

1.3.1 Cleanroom

The fabrication of semiconductor microdevices takes place in cleanrooms to protect the complex circuits from contaminations which can impact operability. The cleanrooms are classified by size of the particles and their amount per cubic foot (cu.ft.) or per cubic meter (ISO standard):
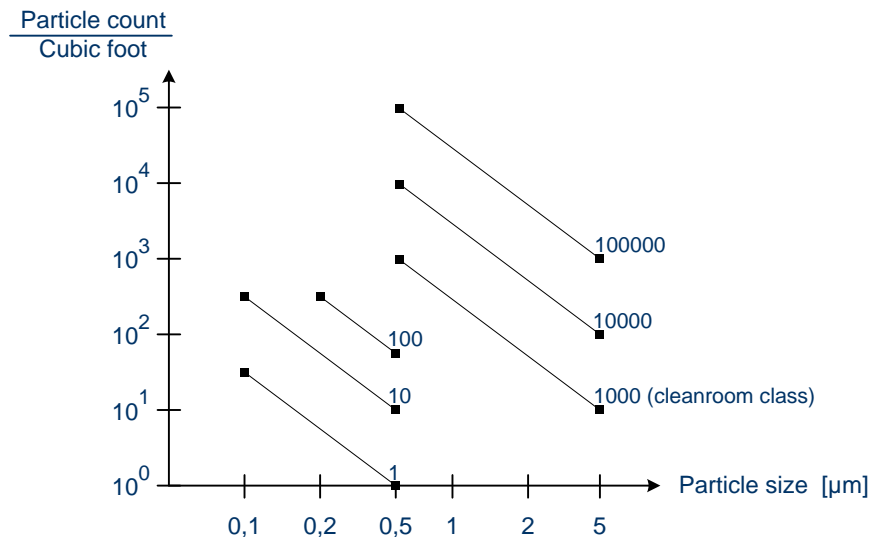
Fig. 1.4: Cleanroom classes

In a class 3 cleanroom, for example, there may only be 1,000 particles with a diameter of ≥ 0.1 microns, 237 with a diameter of ≥ 0.2 microns and so on. In an operating room the cleanroom class is generally 2 or 3. In a volume of $1\ m^3$ air in a city there are e.g. 400 million particles with a size of 5 microns.

In cleanrooms for the semiconductor industry the air is cleaned with super fine filters which is blown through the ceiling and exhausted through holes in the floor. This laminar flow forwards particles top down. To avoid contaminations from the outside

there's always some over pressure inside the cleanroom. Since the production line heatens the air, the air rises and can cause turbulences. To avoid this, the laminar flow can be in reversed direction, from the floor to the ceiling. This is primarily done if the wafers are transported inside of sealed boxes which dock directly to the facility so that there is no possibility for contaminations to reach the wafers. This boxes are called FOUPs (Front Opening Unified Pod).

Since the wafers are completely separated from the ambient air in the cleanroom, there can be another cleanroom class inside the FOUP - a so-called mini environment. For this reason the class inside the boxes can be 1 or 2 to protect the wafers from particles, while the class in the cleanroom itself is only 5, for instance. This is much more efficient since not the entire cleanroom needs to be super clean but only the relatively tiny transport boxes.

In the illustration above the production line is only the region between the light red colored ventilating system and the yellow colored bottom area. Beneath there is the basement with supply units (pumps, generators etc.), on top there are the air filters. To minimize turbulences the huge cleanroom area often is separated into individual corridors. Many cleanrooms are surrounded by a so-called greyroom (service room) in which the production tools are standing. In this case only the locks to bring in the wafers and the control panels are accessible from inside the cleanroom.

The staff wears special cleanroom suits (often called bunny suits) which do not emit particles. Depending on the requirements the suit covers the complete body including head and face. In addition there are special boots, underclothing and gloves. Next to the entrance there are sometimes air showers to blow everything clear.

1.3.2 Types of contamination

Despite the cleanroom there are different types of contamination which are mainly emitted by the staff, the ambient air, by chemicals (gases, dilutions), and by the facility:

- **microscopic contamination:** e.g. particles out of the air or from gases

- **molecular contamination:** e.g. hydrocarbon from oil in pumps

- **ionic contamination:** e.g. sweat

- **atomic contamination:** e.g. heavy metal from dilutions, abrasion of solids

1.3.3 Microscopic contamination

Microscopic contaminations are particles which adsorb at the wafer surface. Sources of these contaminations are the ambient air, clothing, abrasion of moving parts, insufficiently filtered liquids (for cleaning, etching, development, ...), or residuals after dry etching.

Microscopic contaminations cause shadowing at the surface, e.g. during lithography. Relativley big particles reduce resolution in contact exposure if they are located between the photomask and the resist layer. In addition they can hold of accelerated ions of the surface during ion implantation or during dry etch.
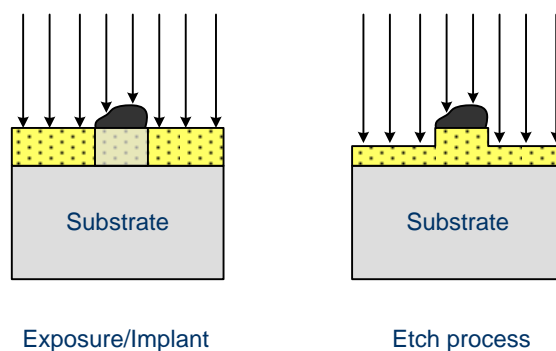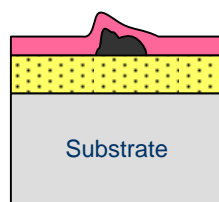

Exposure/Implant   Etch process

Fig. 1.5: Shadowing in etch and ion implant processes due to particles

Particles can also be enclosed by films so that there is uneveness. Subsequent deposited layers can crack at these locations or resist accumulates which causes problems during exposure.

Unevenness due to
embedded particle

Fig. 1.6: Embedded particle

1.3.4 Molecular contamination

Molecular contaminations are a result of resist residuals and solvent residuals on the wafer or of oil mist from vacuum pumps. This contaminations can accumulate at the surface as well as diffuse into the material. Superficial contaminations can handicap the adhesion of later deposited films which is vastly in case of metallization. If the contaminations diffuse into an oxide layer the electric toughness of the film is degraded.

1.3.5 Alkaline and metallic contamination

The key source of this contamination is the human who steadily emits salts through skin and breath. But also (alkaline) ions of sodium or potassium from insufficient deionized water can reach the wafers as well as heavy metals of etch dilutions. By radiation in facilities (ion implantation, dry etching) material can be sputtered of walls which deposits on the wafer.

Ionic contaminations for example affect the electric behavior of MOS transistors since their charges change the threshold voltage (the voltage at which the transistor gets conductive). Heavy metals, like iron or copper, provide electrons so that the power consumption of diodes increases. Metals can also act as recombination centers for free charge carriers and on account of this there are not enough free charge carriers for correct mode of operation.

1.3.6 Cleaning techniques

The wafers are cleaned subsequent to each wet-chemical treatment with ultrapure water, but residuals are also removed after other processes by cleaning techniques. For this reason there are various cleaning techniques for individual contaminations. The consumption of ultrapure water is immense and is several hundrets of million liters per annum. In ultrapure water there are almost no contaminations left, 1-2 parts per million (ppm; contaminations per water molecules) are allowed (state of 2002). Generally the water is purified on location.

One possibility for wafer cleaning is the ultrasonic bath in which the wafers are placed with a dilution of water, ultrasonic cleansers, and surfactants. Particles are dissoluted from the surface by ultrasonic stimulation, metals and molecular contaminations are

partial bound by the cleansers. Not to strong attached particles can also be blown off by nitrogen.

To remove organic contaminations such as grease, oil, or danders, solvents like acetone or ethanol are applicable. However, these can leave carbon residuals.

Ionic contaminations (ions of sodium, potassium etc.) are removed by purging with deionized water. Also the cleaning with rotating brushes and cleansers is possible, but particles will be accumulated at edges and the brushes can damage the surface. Vias or other cavities can be purged with high pressure cleaning (50 bar), however, with this treatment ionic contaminations can not be removed.

Cleaning with water or different cleansers often is not suffiencent, and therefore contaminations have to be removed with aggressive etch dilutions or the surface is milled slightly. Mixtures of hydrogen peroxide and ammonia or Caro's acid (sulfuric acid with hydrogen peroxide) can remove organic contaminations through oxidation at about 90 °C.

A mixture of hydrochloride acid and hydrogen peroxide form alkaline metals into soluble chlorides (salts), heavy metals form complexes and get dissolved. Native oxide can be removed with hydrofluoric acid, in contrast a defined deposited oxide layer can also cover the surface for cetain reasons.

Besides the rinse of the wafers after each individual wet-chemical process, they do pass entire cleaning processes with several different cleaning steps. Thereby the sequence of the cleaning techniques is important, since they can affect each other. A cleaning sequence, for example, could look like this:

- blowing off particles with nitrogen

- cleaning with ultrasonic

- removing organic contaminations with Caro's acid (H_2SO_4 - H_2O_2)

- removing of even finer organic contaminations with a mixture of NH_4 and H_2O_2

- removing of metallic contaminations with hydrochloric acid and hydrogen peroxide

- drying with a spin-dryer in hot nitrogen atmosphere

Subsequent to every cleaning step the wafers are rinsed with ultrapure water, native oxide is removed in a hydrofluoric acid dip. Depending on the wafer surface the clean-

ing sequence is different since some cleansers can attack films. At ever smaller structures the cleaning is hindered, not only because of smaller openings which can not be accessed as easily but also because of surface tension and capillary force which can cause collapse of structures.